

Right to Financial Privacy Act		YES	NO
1.	Has the financial institution established procedures for fulfilling requests by government authorities for customers' financial records in compliance with the Act?	_____	_____
2.	Has the financial institution received any requests covered by the Act for customers' financial records since the last examination? (If "yes," complete the remaining questions.)	_____	_____
3.	Does the financial institution have internal controls in place that are adequate to ensure that all requests are handled in compliance with the Act?	_____	_____
4.	Does the financial institution provide customers' financial records to government authorities only after receiving proper written certification required by the Act?	_____	_____
5.	Does the financial institution refrain from requiring a customer's authorization for disclosure of financial records as a condition of doing business? (1104(b))	_____	_____
6.	Does the financial institution maintain records of all disclosures of customers' records made to a government authority in connection with a government loan, guaranty or insurance program? (1113(h)(6))	_____	_____
	• Does the financial institution allow the customer to examine these records upon request?	_____	_____
7.	Does the financial institution keep adequate records of those instances in which a customer's financial records are disclosed to a government authority upon authorization by the customer, including a copy of the request and the identity of the government authority? (1104(c))	_____	_____
	• Does the financial institution allow the customer to examine these records upon request (unless blocked by a court order)?	_____	_____

Questions 3-7 answered with a "No" require an explanation of how the financial institution intends to comply with the requirements of the Act.